

# Syntactic N-grams as Machine Learning Features for Natural Language Processing<sup>1</sup>

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**Abstract.** In this paper we introduce and discuss a concept of syntactic n-grams (sn-grams). Sn-grams differ from traditional n-grams in the manner how we construct them, i.e., what elements are considered neighbors. In case of sn-grams, the neighbors are taken by following syntactic relations in syntactic trees, and not by taking words as they appear in a text, i.e., sn-grams are constructed by following paths in syntactic trees. In this manner, sn-grams allow bringing syntactic knowledge into machine learning methods; still, previous parsing is necessary for their construction. Sn-grams can be applied in any NLP task where traditional n-grams are used. We describe how sn-grams were applied to authorship attribution. We used as baseline traditional n-grams of words, POS tags and characters; three classifiers were applied: SVM, NB, J48. Sn-grams give better results with SVM classifier.

**Keywords.** syntactic n-grams, sn-grams, parsing, classification features, syntactic paths, authorship attribution, SVM, NB, J48.

## 1 Introduction

N-gram based techniques are predominant in modern natural language processing (NLP) and its applications. Traditional n-grams are sequences of elements as they appear in texts. These elements can be words, characters, POS tags, or any other elements as they encounter one after another in texts. Common convention is that “n” corresponds to the number of elements in a sequence.

The main idea of this paper is that n-grams can be obtained based on the order in which the elements appear in syntactic trees. Namely, we follow a path in the tree and construct n-grams, rather than taking them as they appear in the surface representation

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of the text. Thus, we consider as neighbors the words (or other elements like POS tags, etc.) that follow one another in the path of the syntactic tree, and not in the text. We call such n-grams **syntactic n-grams (sn-grams)**. The great advantage of sn-grams is that they are based on syntactic relations of words and, thus, each word is bound to its “real” neighbors. This allows ignoring the arbitrariness that is introduced by the surface structure. Note that syntactic n-grams are NOT n-grams constructed by using POS tags, as one can interpret in a naive fashion. In fact, this cannot be so strictly speaking, because POS tags represent morphological information, and not syntactic data.

So, the main idea of our proposal is related to the method of construction of these n-grams. In this manner, we get rid of surface language-specific information in sentences that causes problems for traditional n-grams and maintain only persistent and pertinent linguistic information that has very clear interpretation. In our opinion, this is the way how syntactic information can be introduced into machine learning methods. Note that syntactic n-grams, though they are obtained in a different manner, keep being n-grams and can be applied practically in any task when traditional n-grams are used.

Obviously, there is a price to pay for using syntactic n-grams. Namely, parsing should be performed for obtaining the mentioned syntactic paths. There are many parsers available for many languages, but parsing takes time. Also, not for all languages there are parsers ready to use, though for well-studied languages like English or Spanish this consideration is not a problem. An interesting question for future work is evaluation of shallow parsing usage—shallow parsing is much faster than complete parsing: but if shallow parsing is enough for obtaining syntactic n-grams of good quality. Our intuition is that for many tasks it will be sufficient. Another interesting question for future is if syntactic n-grams allow better comparison of results between languages. Obviously, during translation some syntactic relations are changed, but many of them are maintained. So, syntactic n-grams will be more “comparable” across the languages, since they try to get rid of the influence of language-specific surface structures.

In this paper, we apply sn-grams in authorship attribution problem using three popular classifiers and compare their performance with traditional n-grams. The experiments show better results for this task using sn-grams than using traditional n-grams. For this specific task, we also believe that sn-grams have real linguistic interpretation as far as the writing style of authors is concerned because they reflect real syntactic relations.

The rest of the paper is organized as follows: examples of syntactic n-grams are presented in Sections 2 and 3. The concept of sn-grams and their types are discussed in Section 4. The problem of authorship attribution is briefly introduced in Section 5. Then experimental results for authorship attribution based on syntactic n-grams are presented and compared with baseline sets of features in Section 6. Finally, we draw the conclusions in Section 7.

## 2 Dependency and Constituency Trees in Construction of Syntactic N-grams

Let us consider a very simple example of application of sn-grams, a couple of similar phrases: “eat with wooden spoon” vs. “eat with metallic spoon”, see Fig. 1. Note that we can use both dependency and constituency representations of syntactic relations. They are equivalent if the head of each constituent is known. In our example of constituents, the heads are marked by heavier lines. It is very easy to add information about heads into constituency based grammar, namely, one of the components should be marked as the head in the rules.

In case of dependencies, we follow the path marked by arrows and obtain sn-grams. In case of constituents we first “promote” the head nodes so that they occupy the places in bifurcations, as shown in Fig. 2. Then we obtain sn-grams starting from the dependent constituencies and taking the heads from the bifurcations.

Let us consider the case of bigrams for this example. If we extract traditional bigrams from the phrases, then they have only one bigram in common: “eat with”. Meanwhile, if we consider sn-grams, then two common bigrams are found: “eat with”, “with spoon”.

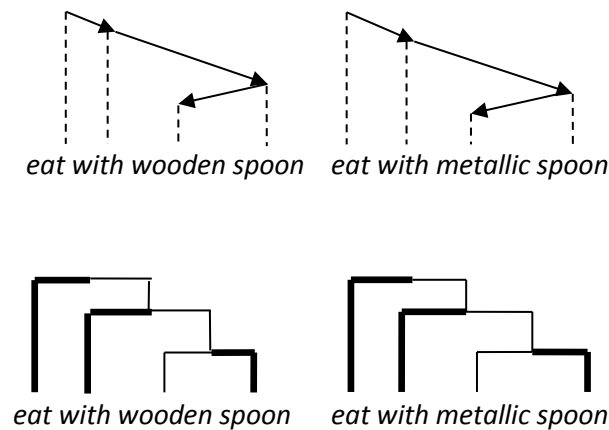


Fig. 1. Representations of syntactic relations.

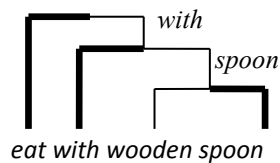


Fig. 2. Promoted head nodes.

The same happens in case of trigrams. In case of traditional n-grams, these two phrases have no common n-grams, but if we use sn-grams then there is one common trigram: “eat with spoon”.

In this example, sn-grams allow ignoring the surface phenomenon of the English language that adds an adjective before noun and in this way spoils traditional bigrams/trigrams. The same happens in case of, say, subordinate clauses, and, in general, in any type of syntactic structures.

Another possibility while obtaining sn-grams is to construct those ignoring auxiliary words (stop words). We follow the paths in the tree and just pass through the nodes of the stop words without adding them to the sn-gram. In case of our examples, the phrases have the common sn-bigram “*eat spoon*” that cannot be obtained using traditional n-grams.

### 3 Full Example of Construction of Sn-grams

Now let us present real-world example. We will discuss only dependency tree structure. We took the phrase from the novel “Dracula” by Bram Stoker: *I can even now remember the hour from which I dedicated myself to this great enterprise*. It has the following syntactic structure obtained by Stanford parser [23].

```
(ROOT
(S
(NP (PRP I))
(VP (MD can) (, .)
(ADVP (RB even) (RB now))
(, .)
(VP (VB remember)
(NP (DT the) (NN hour))
(PP (IN from)
(SBAR
(WHNP (WDT which))
(S
(NP (PRP I))
(VP (VBD dedicated)
(NP (PRP myself))
(PP (TO to)
(NP (DT this) (JJ great) (NN enterprise))))))))))
(, .)))
nsubj(remember-7, I-1)
aux(remember-7, can-2)
advmod(now-5, even-4)
advmod(remember-7, now-5)
root(ROOT-0, remember-7)
det(hour-9, the-8)
dobj(remember-7, hour-9)
prep(remember-7, from-10)
dobj(dedicated-13, which-11)
nsubj(dedicated-13, I-12)
pcomp(from-10, dedicated-13)
dobj(dedicated-13, myself-14)
```

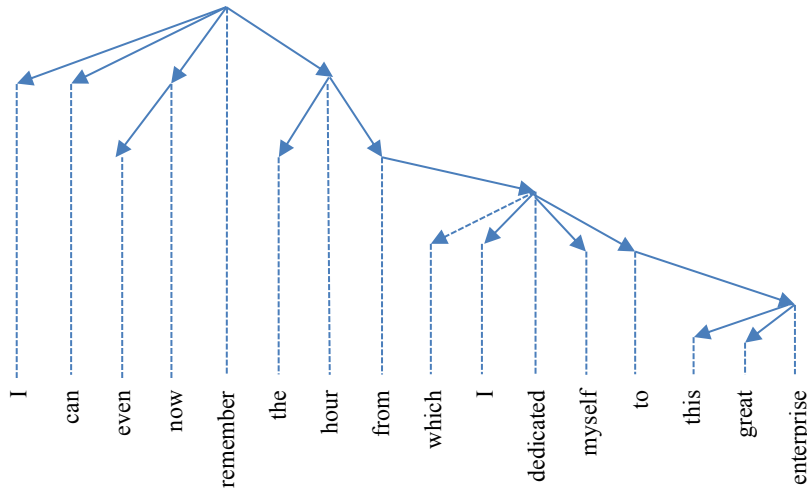


Fig. 3. Example of a syntactic tree.

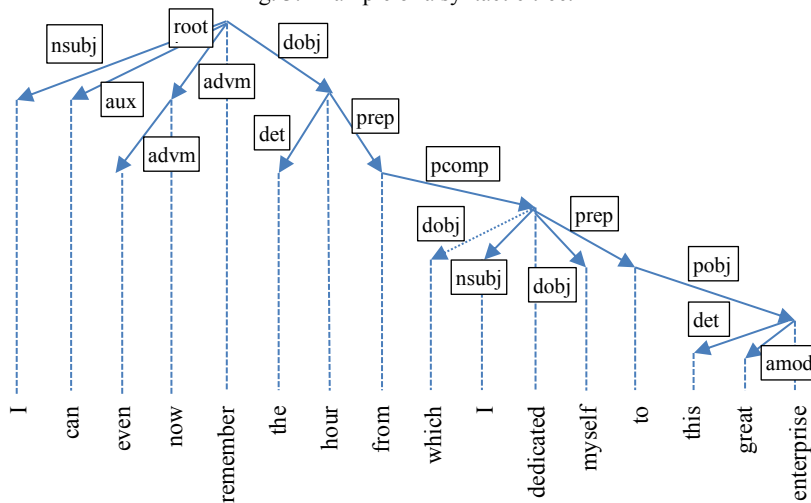


Fig. 4. Example of a syntactic tree with tags of syntactic relations.

prep(dedicated-13, to-15)  
 det(enterprise-18, this-16)  
 amod(enterprise-18, great-17)  
 pobj(to-15, enterprise-18)

Stanford parser generates two types of the output: tree structure, where the level of the component is represented by their indenting spaces, and syntactic relations that correspond to dependency tree. For example, *dobj(remember-7, hour-9)* means that the word *remember* has 7th position in the phrase and the word *hour* the 9th position, and that there is a relation *dobj* between them, where *remember* is the head word (it is

the first one) and *hour* is the dependent word (the second one). We present the final syntactic tree in Figures 3 and 4. In Figure 3 we present only arrows that correspond to syntactic relations, while in Figure 4 we also show labels of the syntactic relations. Stanford parser handles 53 relations.

In this example, in our opinion, the parser committed an error, and connected the word *which* to *dedicated*, and not to *from*. We mark this by dashed arrow. Note that small number of errors if they are consistent will not affect the performance of sn-grams (sure, it is better to have no errors). It is further research direction to analyze the impact of parser error in various tasks, i.e., it can also depend on the task.

We hope that this example helps in understanding of the method of construction of sn-grams. Namely, we should traverse the tree node by node applying recursion in bifurcations. The method is intuitively very clear: follow the paths represented by arrows, take at each step words (or other elements) from the corresponding nodes and add them to the current n-grams that are under construction.

More formal description of the method is as follows. We start from the root node R. Then we choose the first arrow (we will pass through all arrows, so the order is not important) and take the node N on the other side of the arrow. Our first bigram (or part of a larger sn-gram) is R-N. Note that the order is important because R is the head word, and N is the dependent word. Now we move to the node N and repeat the operation, either for the next bigram, or for the larger sn-gram. The number of steps for construction of a sn-grams of a given size is equal to  $n-1$ , i.e., in case of bigrams we make only one step, in case of trigrams we make two steps, etc. In bifurcations, we apply recursion, i.e., each possible direction corresponds to a new sn-gram or a set of new sn-grams (in case that there are more bifurcations at lower levels). When we finish with a sn-gram, we return to the nearest previous bifurcation and continue in the direction that was not explored yet. Let us compare the results for extraction of traditional bigrams and syntactic bigrams for the example in Figures 3 and 4. Note that syntactic bigrams are presented directly in the output of the parser.

**Table 1.** Syntactic and traditional bigrams from the example.

Syntactic bigrams	Traditional bigrams
<i>remember-now, now-even, remember-hour, remember-from, hour-the, hour-from, dedicated-which, dedicated-I, from-dedicated, dedicated-myself, dedicated-to, to-enterprise, enterprise-this, enterprise-great</i>	<i>I-can, can-even, even-now, now-remember, remember-the, the-hour, hour-from, from-which, which-I, I-dedicated, dedicated-myself, myself-to, to-this, this-great, great-enterprise</i>

Now let us present syntactic and traditional bi-grams without stop words. Note that we continue the path if we meet the stop word, i.e., we do not stop, we skip it and continue.

**Table 2.** Syntactic and traditional bigrams from the example without auxiliary words.

Syntactic bigrams	Traditional bigrams
<i>remember now, now-even, remember-hour, remember-dedicated, dedicated-</i>	<i>even-now, now-remember, remember-hour, hour-dedicated, dedicated-great,</i>

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*enterprise, enterprise-great* *great-enterprise*

---

Here especially interesting bigram is “*dedicated-enterprise*”, where we skip several auxiliary words.

Now let us consider syntactic and traditional trigrams for the example.

**Table 3.** Syntactic and traditional trigrams from the example.

Syntactic bigrams	Traditional bigrams
<i>remember-now-even, remember-hour-the, remember-hour-from, hour-from-dedicated, from-dedicated-which, from-dedicated-I, from-dedicated-myself, from-dedicated-to, dedicated-to-enterprise, to-enterprise-this, to-enterprise-great</i>	<i>I-can-even, can-even-now, even-now-remember, now-remember-the, remember-the-hour, the-hour-from, hour-from-which, from-which-I, which-I-dedicated, I-dedicated-myself, dedicated-myself-to, myself-to-this, to-this-great, this-great-enterprise</i>

**Table 4.** Syntactic and traditional trigrams without auxiliary words from the example.

Syntactic bigrams	Traditional bigrams
<i>remember-now-even, remember-hour-dedicated, hour-dedicated-enterprise, dedicated-enterprise-great</i>	<i>even-now-remember, now-remember-hour, remember-hour-dedicated, hour-dedicated-great, dedicated-great-enterprise</i>

In our opinion, syntactic bigrams are much more stable and less arbitrary, i.e., have more chances to be repeated in other sentences. We saw in Section 2 a simple example: what happens if we add an adjective for any noun. Traditional n-grams in the near context will be changed, but syntactic n-grams will maintain stable, only one new sn-gram will be added: Noun-Adjective.

Note that while the number of syntactic bigrams is equal to the number of traditional bigrams, the number of sn-grams when  $n > 2$  can be less than in case of traditional n-grams. It is so because traditional n-grams consider just plain combinations, while for sn-grams there should exist “long” paths. It is very clear for greater values of  $n$ . Say, for  $n=7$ , there are many n-grams in the above mentioned example, while there is only two sn-gram: *remember-hour-from-dedicated-to-enterprise-great/this*. It is obvious that the number of n-grams and sn-grams would be equal only if the whole phrase has only one path, i.e., there are no bifurcations.

Another possibility in construction on sn-grams is to use tags of syntactic relations (SR tags) instead of words, see Section 4. Since we will use them in experiments further in the paper, we would like to present, say, bigrams extracted from the same example sentence: *root-nsubj, root-aux, root-advmod, admod-advmod, root-dobj, dobj-det, dobj-prep, prep-pcomp, pcomp-dobj, pcomp-dsubj, pcomp-prep, prep-pobj, pobj-det, pobj-amod*. In this case we traverse the tree as well, but instead of nodes we take the names of arrows (arcs). In this work, we consider that SR tags are

comparable with POS tags: they have similar nature and the quantity of both types of elements is similar: 36 and 53 elements correspondingly.

#### **4 Syntactic N-grams (sn-grams)**

As we already explained, syntactic n-grams (sn-grams) are n-grams that are constructed using paths in syntactic trees. Their advantage is that they are much less arbitrary than traditional n-grams. Thus, their number is less than the number of traditional n-grams. Another advantage is that they can be interpreted as linguistic phenomenon, while traditional n-grams have no plausible linguistic interpretation—they are merely statistical artifact.

The justification of the idea of sn-grams is related to introduction of linguistic information into statistically based methods of machine learning. We believe that this idea helps to overcome the main disadvantage of traditional n-grams—they contain many arbitrary elements, i.e., a lot of noise, introduced by the surface structure.

The obvious disadvantage of syntactic n-grams is that previous syntactic processing is necessary. This consumes significant time and it is not easy to apply to some languages, because a syntactic parser and a set of lexical resources that are used by the parser are needed and not for any language these resources are available.

Previously, similar ideas were related to some specific tasks like using additional syntactic information in machine translation [3] or generation in machine translation [4], without the generalization and taxonomy that we propose in this paper. The term syntactic n-gram is not very common and its importance is underestimated. It is used, for example, in [5] for extraction of polarity of syntactic constituents (chunks) as a whole element, but in a different sense.

Note that there are attempts to overcome the disadvantages of traditional n-grams using purely statistical approaches. We should mention skip-grams and Maximal Frequent Sequences (MFS).

Skip-grams are very similar to n-grams, but during their construction some elements of the corresponding sequence are ignored (skipped). It is an attempt to avoid possible noise, namely, by considering random variations in texts. There can be gaps (skips) with various skip steps.

An example of skip-grams: say, for the sequence ABCDE, we can obtain traditional bigrams AB, BC, CD, and DE. Skip-bigrams with the skip step of “one” will be AC, BD, and CE. Various skip steps can be used. Usually skip-grams also include traditional n-grams, in which case the skip step is zero. The problem with skip-grams is that their number grows very fast.

Maximal Frequent Sequences (MFS) [6] are skip-grams with major frequency, i.e., we take into account only skip-grams whose frequencies are greater than a certain threshold. The problem with MFS is that complex algorithms should be used for their construction and it takes substantial processing time. Another disadvantage of MSF is that unlike sn-grams, they depend on text collection. And similar to skip-grams, no linguistic interpretation of MFS is possible in general case.

Now let us discuss what elements can form sn-grams. First of all it can be the elements that form traditional n-grams: words (stems/lemmas), POS tags, and



characters.. As we mentioned in Section 3, in case of syntactic n-grams, another type of elements can be used for their composition: tags of syntactic relations (SR tags), like *pobj*, *det*, *xcomp*, etc. These tags are similar to POS tags in the sense that they are morphosyntactic abstraction and are obtained during previous linguistic processing.

In case of the English language, we use Stanford parser for determining SR tags, POS tags, and for construction of dependency-based syntactic trees [23]. Although the parsing process was time consuming for our large corpus, it was performed only once, so the subsequent experiments do not take substantial time.

So, according to the **types of elements** that form syntactic n-grams, there can be various types of sn-grams:

- Word sn-grams: the elements of sn-gram are words (or stems, or lemmas, the important idea is that it is a lexical unit),
- POS sn-grams: the elements of sn-gram are POS tags,
- Sn-grams of tags of syntactic relations: SR tags, the elements of sn-gram are names of syntactic relations (see Section 3),
- Character sn-grams (see below),
- Mixed sn-grams: sn-grams are composed by mixed elements like words (lexical units), POS tags and/or SR tags. Since a sn-gram follows a syntactic link, then there are reasons to use mixed sn-grams, for example, they can reflect subcategorization frames. There are many possible combinations regarding to what part—the head word or the dependent word in the relation—should be represented by lexical unit, POS tag, or SR tag. It seems that character sn-grams cannot be part of mixed sn-grams. These combinations should be explored experimentally in future.

In paper [1] we mentioned that sn-grams of characters are impossible. Now we consider that they can be constructed from other syntactic n-grams. In this case, the main source would be sn-grams of words, though we can try stems or lemmas as well. So, we can construct sn-grams of characters from sn-grams of words. In general, the intuition behind the character n-grams is related with two things: inside the words they should reflect some lexical phenomena; on the word boundaries they should reflect word combination patterns. It would be also interesting to try these two possibilities separately. These ideas can be reflected in character sn-grams in the same manner as in traditional character n-grams. Still, it is an experimental work that should confirm if character sn-grams are useful.

As far as the **treatment of stop words** is concerned, as we mentioned, they can be ignored or taken into account. This degree of freedom is always present in any preparation of linguistic data for machine learning.

## 5 Task of the Authorship Attribution

Authorship attribution deals with an old and difficult question: how to assign a text of unknown or disputed authorship to a member of a set of candidate authors for whom undisputed samples of texts are available [10]. Despite its application to literary works, the rapid expansion of online text in Internet media (e.g., blogs, e-mail

messages, social media postings, etc.) revealed practical applications of authorship attribution usually associated with forensic tasks [13].

The automated approaches to this problem involve the use of statistical or machine learning methods [8]. From the machine learning point of view, authorship attribution can be seen as a single-label multi-class classification task [12]. There are two basic steps: first, the texts should be appropriately represented as vectors of numerical values and, then, a classification algorithm can use these vectors to estimate the probability of class membership for any given text.

Stylometry is the line of research studying the quantification of writing style. So far, there are plenty of approaches that attempt to provide reliable solutions for capturing the properties of textual style [9]. The most successful and robust methods are based on low-level information such as character n-grams or auxiliary words (function word, stop words, e.g. articles, prepositions, etc.) frequencies [8]. Such measures are easy to get extracted from texts and can easily be applied to any given natural language. On the other hand, low-level features capture tiny pieces of stylistic information and require very high dimensionality of the representation that is really hard to be interpreted cumulatively. Therefore, they can hardly provide an in-depth understanding of authorial choices, so it is not easy for them to be used in tasks where the explanation of stylistic analysis is required (e.g., in cases of using authorship attribution results as evidence in court). In such cases, we need a more elaborate representation of style that is based on more abstract features coming from syntactic or semantic analysis of texts. In the framework of forensic applications where the aim is not only to find the most probable author of a text but also to explain this decision, the use of low-level features is problematic. However, the use of high-level information in authorship attribution experiments has not provided encouraging results since they seem to be less reliable in comparison to low-level features, although the combination of low-level and high-level features tends to improve the effectiveness [14].

Thousands of stylometric features have been proposed so far. These features can be distinguished in the following main categories according to the textual analysis they require [8]: lexical features (e.g., function word frequencies, word n-gram frequencies, vocabulary richness measures, etc.), character features (e.g., letter frequencies and character n-grams), syntactic features (e.g., part-of-speech tag frequencies, sentence and phrase structure measures, rewrite rule frequencies etc.), semantic features (e.g., synonym measures, semantic dependency measures etc.), and application-specific features (e.g., font size, font color, specific word frequencies, etc.). Until now, a number of studies [15, 16, 20] have shown that the most effective measures are lexical and character features. Although they are easy to be handled by a computational model, they provide little help on the explanation of the similarities/differences of writing style of different documents. Moreover, the effectiveness of all these measures depends on the text size. Modern text genres such as Twitter messages or SMS messages include very short texts (less than 200 words). In order to be able to handle short texts the distribution of each feature in the text (instead of a single measure) has been proposed [19], an approach that considerably increases the dimensionality of the representation.

The classification methods used in authorship attribution follow two main paradigms [8]. The profile-based methods treat each training text cumulatively (per

author) [20, 21]. In more detail, they concatenate all the available training texts per author in one big file and extract a cumulative representation of that author’s style (usually called the author’s profile) from this concatenated text. That is, the differences between texts written by the same author are disregarded. On the other hand, the instance-based methods require multiple training text samples per author in order to develop an accurate attribution model [18, 22]. That is, each training text is individually represented as a separate instance of authorial style. Profile-based methods attempt to capture a general style for each author while instance-based methods capture a separate style of each individual document.

So far, two international competitions on authorship attribution have been organized [11, 17]. In general, the results show that the effectiveness of state-of-the-art approaches can be very high given that the text size is not too short (at least 500 words) and the set of candidate author is relatively small (a dozen of authors). When very short texts are examined, the set of candidate authors grows large, or the open-set scenario (i.e., the true author is not necessarily included in the candidate author set) is adopted, the accuracy results significantly drop. However, the application of authorship attribution tools in difficult tasks indicates that they can perform better than an average human expert since they use more detailed representation of texts (e.g., character n-grams). On the other hand, this type of information is not easy to be understood by humans and this is a crucial obstacle in the exploitation of this technology in forensic applications.

Note that information about syntactic relations usually is not used in this task, being to some extent one of the exceptions [3], where the authors analyze syntactic rewriting rules. In this paper, we show that syntactic relations taken as sn-grams represent very effective feature set.

In this paper, we use as baseline features: character features: lexical features (words), and POS tags obtained using traditional n-grams, i.e., according to the appearance of the elements in texts.

## 6 Experimental Results and Discussion

The experiments were performed over corpus data for an authorship attribution problem. The corpus used in our study includes texts downloaded from the Project Gutenberg. We selected books of native English speaking authors that had their literary production in a similar period. In this paper, all experiments are conducted for the corpus of 39 documents by three authors.

**Table 5.** Training and classification data.

Author	Training		Classification	
	Novels	Size (MB)	Novels	Size (MB)
<i>Booth Tarkington</i>	8	3.6	5	1.8
<i>George Vaizey</i>	8	3.8	5	2.1
<i>Louis Tracy</i>	8	3.6	5	2.2

**Total            24            11            15            6.1**

For evaluation of the experiments, we used 60% of the data for training, and the rest 40% for classification, as presented in Table 5.

**Table 6.** Word based n-grams, baseline.

Profile size	Classifier	n-gram size			
		2	3	4	5
400	SVM	<b>86%</b>	<b>81%</b>	67%	45%
	NB	48%	67%	<b>81%</b>	<b>85%</b>
	J48	67%	76%	71%	60%
1,000	SVM	<b>86%</b>	71%	71%	48%
	NB	76%	<b>81%</b>	<b>95%</b>	<b>90%</b>
	J48	71%	67%	71%	67%
4,000	SVM	<b>86%</b>	<b>95%</b>	67%	48%
	NB	62%	65%	<b>81%</b>	<b>86%</b>
	J48	81%	70%	<b>81%</b>	57%
7,000	SVM	<b>86%</b>	<b>90%</b>	71%	45%
	NB	52%	48%	81%	<b>81%</b>
	J48	<b>86%</b>	71%	<b>86%</b>	51%
11,000	SVM	<b>89%</b>	<b>90%</b>	75%	33%
	NB	53%	52%	<b>90%</b>	<b>78%</b>
	J48	<b>89%</b>	81%	70%	44%

**Table 7.** POS n-grams, baseline.

Profile size	Classifier	n-gram size			
		2	3	4	5
400	SVM	<b>90%</b>	<b>90%</b>	<b>76%</b>	62%
	NB	67%	62%	57%	52%
	J48	76%	57%	52%	71%
1,000	SVM	<b>95%</b>	<b>90%</b>	<b>86%</b>	<b>67%</b>
	NB	76%	57%	62%	52%
	J48	71%	62%	81%	57%
4,000	SVM	NA	<b>100%</b>	<b>86%</b>	<b>86%</b>
	NB	NA	57%	62%	57%
	J48	NA	62%	67%	76%
7,000	SVM	NA	<b>100%</b>	<b>90%</b>	<b>86%</b>
	NB	NA	38%	62%	57%
	J48	NA	38%	86%	<b>86%</b>
11,000	SVM	NA	<b>95%</b>	<b>90%</b>	86%
	NB	NA	43%	48%	57%
	J48	NA	57%	86%	<b>90%</b>

We used WEKA software for classification [24]. In our previous work [1], we used only one classifier, SVM. In this work we present results for three classifiers: SVM (NormalizedPolyKernel of the SMO), Naive Bayes, and J48. Several baseline feature sets are analyzed that use traditional n-grams: words, POS tags and characters. Note that in general SVM is known to produce good results in the task of the authorship attribution.

We used several features as baseline: word based features, character based features, and POS tags. For baseline features, we used traditional n-gram technique, i.e., the elements are taken as they appear in the texts. We applied sn-grams technique for the same corpus with the results that outperform baseline methods.

**Table 8.** Character-based n-grams, baseline.

Profile size	Classifier	n-gram size			
		2	3	4	5
400	SVM	<b>90%</b>	<b>76%</b>	<b>81%</b>	<b>81%</b>
	NB	71%	62%	71%	67%
	J48	76%	62%	48%	76%
1,000	SVM	<b>95%</b>	<b>86%</b>	<b>86%</b>	76%
	NB	76%	76%	67%	<b>81%</b>
	J48	81%	67%	67%	71%
4,000	SVM	<b>90%</b>	<b>95%</b>	<b>90%</b>	<b>86%</b>
	NB	<b>90%</b>	71%	81%	71%
	J48	76%	76%	<b>90%</b>	81%
7,000	SVM	NA	<b>90%</b>	<b>86%</b>	86%
	NB	NA	62%	76%	71%
	J48	NA	76%	<b>86%</b>	<b>90%</b>
11,000	SVM	NA	<b>100%</b>	<b>90%</b>	<b>86%</b>
	NB	NA	67%	62%	71%
	J48	NA	71%	81%	<b>86%</b>

**Table 9.** Sn-grams of SR tags.

Profile size	Classifier	n-gram size			
		2	3	4	5
400	SVM	<b>100%</b>	<b>100%</b>	87%	<b>93%</b>
	NB	<b>100%</b>	93%	73%	67%
	J48	87%	67%	<b>93%</b>	73%
1,000	SVM	<b>100%</b>	<b>100%</b>	87%	<b>93%</b>
	NB	80%	67%	80%	80%
	J48	87%	67%	<b>93%</b>	73%
4,000	SVM	<b>100%</b>	<b>100%</b>	<b>93%</b>	<b>73%</b>
	NB	40%	40%	53%	60%
	J48	67%	47%	73%	73%
7,000	SVM	<b>100%</b>	<b>100%</b>	<b>87%</b>	<b>87%</b>
	NB	53%	33%	33%	73%
	J48	67%	80%	67%	73%
11,000	SVM	<b>100%</b>	<b>100%</b>	<b>93%</b>	<b>87%</b>
	NB	40%	33%	33%	60%
	J48	67%	80%	53%	73%

We use the term “profile size” for representing the first most frequent n-grams/sn-grams, e.g., for profile size of 400 only first 400 most frequent n-grams are used. We tested various thresholds for profile size and selected five thresholds for profile size as presented in all tables with the results.

When a table cell contains *NA* (*not available*), it means that our data were insufficient to obtain the corresponding number of n-grams. It happens only with

bigrams because in general there are less bigrams than trigrams, etc. In these cases the total number of all bigrams is less than the given profile size.

In Tables 6, 7 and 8 the results of the application of baseline features using traditional n-grams are presented. Table 9 contains the results obtained using sn-grams.

If we compare the results of various classifiers, it can be observed that in the vast majority of cases the results of the SVM classification method are better than NB and J48. We obtained the best performance with SVM always getting a 100% of accuracy with bigrams and trigrams for any profile size for sn-grams. The only case when NB gives the same result as SVM (of 100%) is for the profile size of 400 for bigrams. Still, we consider that it is due to the fact that our topline can be achieved relatively easy because of the corpus size and the number of authors (only three). Note that in all other cases SVM got better results.

On the other hand, the tendency that sn-grams outperform traditional n-grams is preserved and allows us to get rid of the necessity to choose the threshold values, like the profile size for this corpus, for example, traditional POS trigrams got 100% for profile sizes of 4,000 and 7,000 only, while sn-grams (bigrams and trigrams) give 100% for all considered profile sizes.

For better appreciation of the comparison of the results, we present tables 10 to 13, where the results are grouped by the size of n-grams/sn-grams applying SVM classifier. We show only SVM results since they are the best.

**Table 10.** Comparison for bigrams, SVM.

Profile size	Features			
	<u>sn-grams of SR tags</u>	n-grams of POS tags	Character based n-grams	Word based n-grams
400	<u>100%</u>	90%	90%	86%
1,000	<u>100%</u>	95%	95%	86%
4,000	<u>100%</u>	NA	90%	86%
7,000	<u>100%</u>	NA	NA	86%
11,000	<u>100%</u>	NA	NA	89%

**Table 11.** Comparison for trigrams, SVM.

Profile size	Features			
	<u>sn-grams of SR tags</u>	n-grams of POS tags	Character based n-grams	Word based n-grams
400	<u>100%</u>	90%	76%	81%
1,000	<u>100%</u>	90%	86%	71%
4,000	<u>100%</u>	<u>100%</u>	95%	95%
7,000	<u>100%</u>	<u>100%</u>	90%	90%

11,000	<u>100%</u>	95%	<u>100%</u>	90%
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**Table 12.** Comparison for 4-grams, SVM.

Profile size	Features			
	<u>sn-grams of SR tags</u>	n-grams of POS tags	Character based n-grams	Word based n-grams
400	87%	76%	81%	67%
1,000	87%	86%	86%	71%
4,000	<u>93%</u>	86%	90%	67%
7,000	87%	90%	86%	71%
11,000	<u>93%</u>	90%	90%	75%

**Table 13.** Comparison for 5-grams, SVM.

Profile size	Features			
	<u>sn-grams of SR tags</u>	n-grams of POS tags	Character based n-grams	Word based n-grams
400	<u>93%</u>	62%	81%	45%
1,000	<u>93%</u>	67%	76%	48%
4,000	73%	86%	86%	48%
7,000	87%	86%	86%	45%
11,000	87%	86%	86%	33%

It can be appreciated that in all cases sn-gram technique outperforms the technique based on traditional n-grams. We consider that SR tags and POS tags are similar for the purposes of our comparison; both are small sets of tags: 36 and 53 elements associated with words.

As can be seen, topline of our task is very high: 100%. It is related to the fact that we use much data and our classification only distinguishes between three classes. In some cases, baseline methods also reach the topline. Still, it happens only for a small number of specific profile sizes. The best results are obtained by sn-grams using bigrams and trigrams for any profile size. For any combination of parameters baseline methods got worse results than sn-grams.

The question can arise if it is worth working with the small number of classes. In our opinion, it is useful and important. First of all, authorship attribution is often a real world application in case of a dispute over the authorship of a document, and in this case the number of classes is reduced to two or three, i.e., it is our situation. We started experiments with more classes (seven) as described in [2].

## 7 Conclusions and Future Work

In this paper we introduce a concept of syntactic n-grams (sn-grams). The difference between traditional n-grams and sn-grams is related to the manner of what elements are considered neighbors. In case of sn-grams, the neighbors are taken by following syntactic relations in syntactic trees, while traditional n-grams are formed as they appear in texts. The concept of sn-grams allows bringing syntactic information into machine learning methods. Sn-grams can be applied in all tasks when traditional n-grams are used.

Any syntactic representation can be used for application of sn-gram technique: dependency trees or constituency trees. In case of dependency tree, we should follow the syntactic links and obtain sn-grams. In case of constituency trees, some additional steps should be made, but these steps are very simple.

We conducted experiments for authorship attribution task using SVM, NB, and J48 for several profile sizes. Relatively large corpus of works of three authors was used. We used as baseline feature traditional n-grams of words, POS tags and characters. The results show that sn-gram technique outperforms the baseline technique.

The following directions of future work can be mentioned:

- Experiments with all feature sets on larger corpus (and more authors, i.e., more classes).
- Analysis of the applicability of shallow parsing instead of full parsing.
- Analysis of usefulness of sn-grams of characters.
- Analysis of the impact of parser errors on the performance of sn-grams.
- Analysis of behavior of sn-grams between languages, e.g., in parallel texts or comparable texts.
- Application of sn-grams in other NLP tasks.
- Application of mixed sn-grams.
- Experiments that would consider combinations of the mentioned features in one feature vector.
- Evaluation of the optimal number and size of sn-grams for various tasks.
- Consideration of various profile sizes with more granularity.
- Application of sn-grams in other languages.

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